

## **A REMARK ON PROPER SEQUENCES OF MODULES\***

GIANCARLO RINALDO

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ABSTRACT. A bound for the depth of a quotient of the symmetric algebra,  $S(E)$ , of a finitely generated module  $E$ , over a C.M. ring by an ideal of  $S(E)$  generated by a subsequence of  $x_1, \dots, x_n$  is obtained in the case when  $E$  satisfies the sliding depth condition, with maximal irrelevant ideal generated by a proper sequence  $x_1, \dots, x_n$  in  $E$ .

### **Introduction**

Let  $R$  be a commutative noetherian C.M. local ring of dimension  $d$ , and let  $E$  be a finitely generated  $R$ -module of rank  $e$ .

We denote with  $Sym_R(E)$  or with  $S(E)$ , the symmetric algebra of  $E$  over  $R$ , that is the graded algebra over  $R$

$$S(E) = \bigoplus_{t \geq 0} Sym_t(E),$$

with  $S_+ = \mathbf{x} = (x_1, \dots, x_n)$  the graded maximal irrelevant ideal of  $S(E)$  and with  $S = R[T_1, \dots, T_n]$  the polynomial ring in  $n$  variables.

When  $\mathbf{x} = \{x_1, \dots, x_n\}$  is a proper sequence in  $E$ ,  $\mathbf{x}_i = \{x_1, \dots, x_i\}$  is a subsequence of  $\mathbf{x}$ ,  $i = 1, \dots, n$ , the complex of homology modules of the Koszul complex on the elements  $\mathbf{x}_i$  is exact and give us informations on the quotient ring  $S(E)/(x_{i+1}, \dots, x_n)$ .

It is possible to obtain bounds on the depth of  $S(E)/(x_{i+1}, \dots, x_n)$  knowing bounds on the depth of the  $i$ th components of the homology modules of the Koszul complex. For example, the condition  $SD_k$  on modules is able to give us such conditions, introduced in [5].

In this article we obtain a bound for the depth of the quotient ring  $S(E)$  by ideals generated by proper sequences of 1-forms of the maximal irrelevant ideal of  $S(E)$ , under weaker hypothesis given in theorem 2 of [9], where we used approximation complex  $\mathcal{Z}(E)$  of the module  $E$ .

We obtain the following:

**Theorem 1.** *Let  $(R, m)$  be a C.M. local ring of dimension  $d$ . Let  $E$  a f.g.  $R$ -module that satisfies  $SD_k$  and  $x_1, \dots, x_n$  a proper sequence of  $E$ .*

*Then  $\text{depth } S(E)/(x_{n-i+1}, \dots, x_n) \geq d - i + k, i = 0, \dots, n$ .*

Our result generalizes to the case of a module the result in [6], where the problem is studied in the case of an ideal  $I \subset R$  generated by proper sequences, obtaining bounds on the depth of the quotient of the ring  $R$  by  $I$ .

## 1. Preliminaries

Let  $R$  be a commutative noetherian C.M. local ring of dimension  $d$ , and let  $E$  be a finitely generated  $R$ -module of rank  $e$ .

We denote with  $Sym_R(E)$  or with  $S(E)$ , the symmetric algebra of  $E$  over  $R$ , that is the graded algebra over  $R$ :

$$S(E) = \bigoplus_{t \geq 0} Sym_t(E)$$

and with  $S_+$  the maximal irrelevant ideal of  $S(E)$

$$S_+ = \bigoplus_{t > 0} Sym_t(E).$$

Let  $S_+ = (x_1, \dots, x_n) = (\mathbf{x})$ . We can consider the Koszul complex on the generating set  $\{x_1, \dots, x_n\}$  of  $S_+$ , that is a graded complex.

In particular in degree  $t > 0$  we have

$$0 \rightarrow \bigwedge^n R^n \otimes S_{t-n}(E) \xrightarrow{d_n} \bigwedge^{n-1} R^n \otimes S_{t-n+1}(E) \xrightarrow{d_{n-1}} \dots \\ \dots \bigwedge^2 R^n \otimes S_{t-2}(E) \xrightarrow{d_2} R^n \otimes S_{t-1}(E) \xrightarrow{d_1} S_{t-1}(E) \rightarrow 0$$

with differential  $d_p$

$$d_p(e_{i_1} \wedge \dots \wedge e_{i_p} \otimes f(\mathbf{x})) = \sum_{j=1}^p (-1)^{p-j} e_{i_1} \wedge \dots \wedge e_{i_{j-1}} \wedge e_{i_{j+1}} \wedge \dots \wedge e_{i_p} \otimes x_{i_j} f(\mathbf{x}).$$

We denote with  $H_i(\mathbf{x}; S(E))_j, j \geq i$ , the  $j$ -th graded component of the Koszul homology  $H_i(\mathbf{x}; S(E))$ .

It results:

$$H_i(\mathbf{x}; S(E)) = \bigoplus_{j \geq i} H_i(\mathbf{x}; S(E))_j$$

Now, is possible to define the complex:

$$0 \rightarrow H_n(\mathbf{x}, S(E))_n \otimes S[-n] \rightarrow \dots \rightarrow H_1(\mathbf{x}, S(E))_1 \otimes S[-1] \rightarrow S$$

and in general the complexes associated to the subsequences

$$\mathbf{x}_i = \{x_1, \dots, x_i\}$$

$$0 \rightarrow H_i(\mathbf{x}_i, S(E))_i \otimes S[-i] \rightarrow \dots \rightarrow H_1(\mathbf{x}_i, S(E))_1 \otimes S[-1] \rightarrow S$$

**Definition 1.** [1] Let  $R$  be a graded ring. A graded ideal  $\mathfrak{m}$  of  $R$  is called *\*maximal*, if every graded ideal that contains  $\mathfrak{m}$  properly, equals  $R$ . The ring  $R$  is called *\*local*, if it has a unique *\*maximal* ideal  $\mathfrak{m}$ . A *\*local* ring  $R$  with *\*maximal* ideal  $\mathfrak{m}$  will be denoted by  $(R, \mathfrak{m})$ .

**Example 1.** Let  $R = \bigoplus_{i \geq 0} R_i$  be a graded ring for which  $R_0$  is a local ring with maximal ideal  $\mathfrak{m}_0$ , and call  $R_+$  the ideal  $\bigoplus_{i > 0} R_i$ . Then  $R$  is a *\*local* ring with *\*maximal* ideal  $\mathfrak{m} = \mathfrak{m}_0 \oplus R_+$ .

**Remark 1.** Let  $M, N$  graded  $R$ -module. We can denote by  $\text{Hom}_i(M, N)$  the module of homogeneous homomorphisms of degree  $i$ .

We can define  $*\text{Hom}(M, N) = \bigoplus \text{Hom}_i(M, N)$  as the submodule of homogeneous homomorphisms of  $\text{Hom}(M, N)$ .

It is known that  $*\text{Hom}(M, N) = \text{Hom}(M, N)$  when  $M$  is finitely generated ([1], chapter 1.5).

The same remark is possible to give for the  $i$ -th right derived functor

$$*\text{Ext}^i \text{ of } *\text{Hom}(-, N),$$

that is  $*\text{Ext}^i(M, N) = \text{Ext}^i(M, N)$ , when  $M$  is finitely generated ([1], chapter 1.5).

**Definition 2.** Let  $M$  be a graded module on a *\*local* ring  $(R, \mathfrak{m})$ . A sequence  $\mathbf{x} = x_1, \dots, x_n$  of graded elements in  $R$  is an  $M$ -regular sequence if the following conditions are satisfied:

- 1)  $x_i$  is an  $M/(x_1, \dots, x_{i-1})M$ -regular element for  $i = 1, \dots, n$ ;
- 2)  $(\mathbf{x})M \neq M$ .

Now is possible to define the depth for a *\*local* ring

**Definition 3.** Let  $M$  be a graded module on a *\*local* ring  $(R, \mathfrak{m})$ . The depth of  $M$  w.r.t.  $\mathfrak{m}$ , denoted with

$$\text{depth}_{\mathfrak{m}} M$$

is the length of the maximal  $M$ -regular sequence of graded elements contained in  $\mathfrak{m}$ , or equivalently

$$\min\{i : *\text{Ext}_R^i(R/\mathfrak{m}, M) \neq 0\}.$$

**Lemma 1.** Let  $(R, \mathfrak{m})$  be a *\*local* ring and

$$0 \rightarrow U \rightarrow M \rightarrow N \rightarrow 0$$

an exact sequence of graded and finitely generated  $R$ -modules. Then

- 1)  $\text{depth}_{\mathfrak{m}} M \geq \min\{\text{depth}_{\mathfrak{m}} U, \text{depth}_{\mathfrak{m}} N\}$ ;
- 2)  $\text{depth}_{\mathfrak{m}} U \geq \min\{\text{depth}_{\mathfrak{m}} M, \text{depth}_{\mathfrak{m}} N + 1\}$ ;
- 3)  $\text{depth}_{\mathfrak{m}} N \geq \min\{\text{depth}_{\mathfrak{m}} U - 1, \text{depth}_{\mathfrak{m}} M\}$ .

**Proof:** The assertion is proved using proposition 1.2.9 of [1] and remark 1.

**Definition 4.** [10] Let  $\mathbf{x} = x_1, \dots, x_n$  be a sequence of 1-form generating the maximal irrelevant ideal  $S_+$  of  $S(E)$ . Then  $\mathbf{x}$  is called a proper sequence in  $E$  if:

$$x_{i+1}Z_j(x_1, \dots, x_i; S(E))_j / B_j(x_1, \dots, x_i; S(E))_{j+1} = 0 \quad 0 \leq i \leq n-1, j > 0.$$

where  $Z_j(x_1, \dots, x_i; S(E))_j$  and  $B_j(x_1, \dots, x_i; S(E))_j$  are respectively the  $j$ -th graded component of the cycles and boundaries of the Koszul complex.

Now we recall the sliding depth condition  $SD_k$  over finitely generated modules (see [5]).

**Definition 5.** Let  $(R, \mathfrak{m}_0)$  be a C.M. local ring of dimension  $d$ . Let  $E$  be a finitely generated  $R$ -module,  $S_+ = (x_1, \dots, x_n) = (\mathbf{x})$  the maximal irrelevant ideal of the symmetric algebra  $S_R(E)$ .

We say that  $E$  satisfies the sliding depth condition  $SD_k$ , with  $k$  integer, if  $\forall i \geq 0$ :

$$\text{depth}_{\mathfrak{m}_0} H_i(\mathbf{x}, S(E))_i \geq d - n + i + k, \quad 0 \leq i \leq n - k.$$

If  $k = \text{rank}(E)$  we shall say that  $E$  satisfies the sliding depth condition  $SD$ .

**Remark 2.** In particular if  $E$  satisfies the sliding depth condition  $SD_k$ , with  $S_+ = (x_1, \dots, x_n) = (\mathbf{x})$  the maximal irrelevant ideal of the symmetric algebra  $S_R(E)$ , then for every subsequence  $\mathbf{x}_j = \{x_1, \dots, x_j\}$  of  $\mathbf{x}$  we have

$$\text{depth}_{\mathfrak{m}_0} H_i(\mathbf{x}_j, S(E))_i \geq d - j + i + k, \quad 0 \leq i \leq n - k.$$

## 2. Main result

Let  $(R, \mathfrak{m}_0)$  be a local ring and  $E$  a f.g.  $R$ -module. In this section we look at the symmetric algebra  $S_R(E)$  of a module  $E$ , and a proper sequence in  $E$ ,  $\mathbf{x} = \{x_1, \dots, x_n\}$ , generating the maximal irrelevant ideal  $S_+$ , and we call  $S = R[T_1, \dots, T_n]$  the polynomial ring with coefficients in  $R$  with the natural grading.

**Lemma 2.** Let  $(R, \mathfrak{m}_0)$  be a C.M. local ring of dimension  $d$ . Let  $\mathbf{x} = \{x_1, \dots, x_n\}$  a proper sequence of the module  $E$ .

We call  $\mathbf{x}_i = \{x_1, \dots, x_i\}$  and  $\mathbf{x}_{i,n} = \{x_i, x_{i+1}, \dots, x_n\}$  subsequence of  $\mathbf{x}$ ,  $H_j(\mathbf{x}_i)_l = H_j(\mathbf{x}_i; S(E))_l$ . The following sequences are exact:

1)

$$0 \rightarrow H_{j+1}(\mathbf{x}_i)_{j+1} \otimes S[-j-1] \rightarrow H_{j+1}(\mathbf{x}_{i+1})_{j+1} \otimes S[-j-1] \rightarrow H_{j+1}(\mathbf{x}_i)_{j+1}[-1] \otimes S[-j-1] \rightarrow 0$$

2)

$$0 \rightarrow Q^{(i)} \rightarrow S(E)/(\mathbf{x}_{i+1,n}) \rightarrow S(E)/(\mathbf{x}_{i,n}) \rightarrow 0, \text{ with } Q^{(i)} = (\mathbf{x}_{i,n})/(\mathbf{x}_{i+1,n})$$

3)

$$0 \rightarrow M^{(i)} \rightarrow S(E)/(\mathbf{x}_{i+1,n}) \xrightarrow{x_i} Q^{(i)} \rightarrow 0, \text{ with } M^{(i)} = ((\mathbf{x}_{i+1,n}) : x_i)/(\mathbf{x}_{i+1,n})$$

4)  $0 \rightarrow H_1(\mathbf{x}_i)_1 \otimes S[-1] \rightarrow H_1(\mathbf{x}_{i+1})_1 \otimes S[-1] \rightarrow M^{(i)} \rightarrow 0$ , where  $M^{(i)}$  is seen as an  $S$ -module.

**Proof:** Let  $\mathbf{x} = \{x_1, \dots, x_n\}$  a proper sequence of  $E$ . Then  $\forall j > 1$

$$0 \rightarrow H_j(\mathbf{x}_i)_j \otimes S[-j] \rightarrow H_j(\mathbf{x}_{i+1})_j \otimes S[-j] \rightarrow H_{j-1}(\mathbf{x}_i)_j \otimes S[-j] \rightarrow 0$$

the sequences of  $S$ -modules are exact.

The tail of this homology sequence is

$$0 \rightarrow H_1(\mathbf{x}_i)_1 \otimes S[-1] \rightarrow H_1(\mathbf{x}_{i+1})_1 \otimes S[-1] \rightarrow S(E)/(\mathbf{x}_{i+1,n}) \xrightarrow{x_i} S(E)/(\mathbf{x}_{i+1,n}) \rightarrow S(E)/(\mathbf{x}_{i,n}) \rightarrow 0.$$

We can observe that kernel of the omomorphism

$$S(E)/(\mathbf{x}_{i+1,n}) \rightarrow S(E)/(\mathbf{x}_{i,n}) \rightarrow 0$$

is  $Q^{(i)} = (\mathbf{x}_{i,n})/(\mathbf{x}_{i+1,n})$ .

Now, considering the morphism

$$S(E)/(\mathbf{x}_{i+1,n}) \xrightarrow{x_i} Q^{(i)} \rightarrow 0,$$

we obtain the kernel that is  $M^{(i)} = ((\mathbf{x}_{i+1,n}) : x_i)/(\mathbf{x}_{i+1,n})$ ,

and so we can break the long sequence (\*) into the shorter sequence 2), 3) and 4).

Let  $\mathbf{T} = \{T_1, \dots, T_n\}$ , from now on, the depth of each  $S$ -modules, and therefore also  $S(E)$ -modules, is calculated with respect to the \*maximal ideal

$$\mathfrak{m} = \mathfrak{m}_0 \oplus (\mathbf{T}).$$

**Remark 3.** Let  $\mathfrak{m} = \mathfrak{m}_0 \oplus (\mathbf{T})$  and  $\mathfrak{m}' = \mathfrak{m}_0 \oplus S_+$ . Then

$$\text{depth}_{\mathfrak{m}} S(E) = \text{depth}_{\mathfrak{m}'} S(E),$$

where depth w.r.t.  $\mathfrak{m}$  is calculated considering  $S(E)$  as an  $S$ -module, while depth w.r.t.  $\mathfrak{m}'$  is calculated considering  $S(E)$  as an  $S(E)$ -module.

**Remark 4.** Let  $(R, \mathfrak{m}_0)$  be local ring and  $E$  a f.g.  $R$ -module.

If  $\mathbf{x} = \{x_1, \dots, x_n\}$  is a proper sequence of  $E$ ,  $\mathbf{x}_i = \{x_1, \dots, x_i\}$  a subsequence of  $\mathbf{x}$ , then the following complexes of  $S$ -modules are exact:

$$\begin{aligned} 0 \rightarrow H_n(\mathbf{x}, S(E))_n \otimes S[-n] \rightarrow \dots \rightarrow H_1(\mathbf{x}, S(E))_1 \otimes S[-1] \rightarrow S \rightarrow S(E); \\ 0 \rightarrow H_i(\mathbf{x}_i, S(E))_i \otimes S[-i] \rightarrow \dots \\ \rightarrow H_1(\mathbf{x}_i, S(E))_1 \otimes S[-1] \rightarrow S \rightarrow S(E)/(x_{i+1}, \dots, x_n). \end{aligned}$$

**Theorem 1.** Let  $(R, \mathfrak{m}_0)$  be a C.M. local ring of dimension  $d$  and  $E$  a f.g.  $R$ -module. Suppose that:

- 1)  $E$  satisfies  $SD_k$ ;
- 2)  $x_1, \dots, x_n$  is a proper sequence of  $E$ .

Then  $\text{depth}_{\mathfrak{m}} S(E)/(\mathbf{x}_{n-i+1,n}) \geq d - i + k$ ,  $i = 0, \dots, n$ .

**Proof:**

We suppose that the assertion is true for  $j = n - i + 1$  and by contradiction let

$$\text{depth}_{\mathfrak{m}} S(E)/(\mathbf{x}_{j-1,n}) = l < d - i + k - 1 \quad (*).$$

Consider the exact sequence

$$0 \rightarrow H_1(\mathbf{x}_{j-1}; S(E))_1 \otimes S[-1] \rightarrow H_1(\mathbf{x}_j; S(E))_1 \otimes S[-1] \rightarrow M^{(j-1)} \rightarrow 0$$

By lemma 1, we have

$$\begin{aligned} \text{depth}_{\mathfrak{m}} M^{(j-1)} &\geq \\ \min\{\text{depth}_{\mathfrak{m}} H_1(\mathbf{x}_{j-1}; S(E))_1 \otimes S[-1] - 1, \text{depth}_{\mathfrak{m}} H_1(\mathbf{x}_j; S(E))_1 \otimes S[-1]\} & \\ &= d + k - j + 1 + n > l \end{aligned}$$

From the sequence of  $S(E)$ -modules (and therefore  $S$ -modules)

$$0 \rightarrow M^{(j-1)} \rightarrow S(E)/(\mathbf{x}_{j,n}) \rightarrow Q^{(j-1)} \rightarrow 0$$

we obtain the long exact sequence:

$$\cdots \rightarrow {}^* \text{Ext}^{l-1}(k, M_{j-1}) \rightarrow {}^* \text{Ext}^l(k, S(E)/(\mathbf{x}_{j,n})) \rightarrow {}^* \text{Ext}^l(k, Q^{(j-1)}) \rightarrow {}^* \text{Ext}^{l+1}(k, M^{(j-1)}) \rightarrow \cdots$$

where  $k \cong R/\mathfrak{m}_0$ .

But  ${}^* \text{Ext}^{l-1}(k, M^{(j-1)}) = 0$ , since  $\text{depth } M^{(j-1)} > l$ , it follows that the map

$$\alpha : {}^* \text{Ext}^l(k, S(E)/(\mathbf{x}_{j,n})) \rightarrow {}^* \text{Ext}^l(k, Q^{(j-1)})$$

is injective.

In the same way, from the exact sequence of  $S(E)$ -modules

$$0 \rightarrow Q^{(j-1)} \rightarrow S(E)/(\mathbf{x}_{j,n}) \rightarrow S(E)/(\mathbf{x}_{j-1,n}) \rightarrow 0$$

we obtain

$$\cdots \rightarrow {}^* \text{Ext}^{l-1}(k, S(E)/(\mathbf{x}_{j-1,n})) \rightarrow {}^* \text{Ext}^l(k, Q^{(j-1)}) \rightarrow {}^* \text{Ext}^l(k, S(E)/(\mathbf{x}_{j,n})) \rightarrow {}^* \text{Ext}^l(k, S(E)/(\mathbf{x}_{j-1,n})) \rightarrow \cdots$$

But  ${}^* \text{Ext}^{l-1}(k, S(E)/(\mathbf{x}_{j-1,n})) = 0$  by hypothesis (\*),

so the map

$$\beta : {}^* \text{Ext}^l(k, Q^{(j-1)}) \rightarrow {}^* \text{Ext}^l(k, S(E)/(\mathbf{x}_{j,n}))$$

is injective, too. Therefore the composite  $\beta\alpha$  is injective.

But this gives us a contradiction, since  $\beta\alpha$  is induced by the multiplication by  $x_{j-1}$ , and it is the null mapping, since  $\{x_1, \dots, x_n\}$  is a proper sequence.

**Example 2.** Let  $R = k[x_1, \dots, x_d]$ ,  $I_1 = (f_1)$  and  $I_2 = (f_2, f_3)$  ideals of  $R$  with  $f_1, f_2, f_3$  monomials and  $E = I_1 \oplus I_2$ .

Since  $E$  has rank 2

$$H_i(\mathbf{x}, S(E))_i = 0$$

for  $i > 1$  (see [5]).

If  $i = 1$ ,  $H_1(\mathbf{x}, S(E))_1$  is the first syzygy module of  $E$  and by easy calculations we have

$$H_1(\mathbf{x}, S(E))_1 = Rf,$$

$f = (0, f_3/\text{GCD}[f_2, f_3], f_2/\text{GCD}[f_2, f_3]) \in R^3$ , and in particular  $Rf \cong R$ . Therefore  $E$  satisfies  $SD_2$ .

The sequence  $f_1, f_2, f_3$  is a strong  $s$ -sequence in the sense of [4], so  $y_1, y_2, y_3 \in S(E) \cong R[y_1, y_2, y_3] \cong R[Y_1, Y_2, Y_3]/J$  is a  $d$ -sequence (see [4]) and this implies that the sequence is a proper sequence, too.

We have that

- (1)  $\text{depth}_{\mathfrak{m}} S(E) \geq d + 2$ ;
- (2)  $\text{depth}_{\mathfrak{m}} S(E)/(y_3) \geq d - 1 + 2$ ;
- (3)  $\text{depth}_{\mathfrak{m}} S(E)/(y_2, y_3) \geq d - 2 + 2$ ;
- (4)  $\text{depth}_{\mathfrak{m}} S(E)/(y_1, y_2, y_3) \geq d - 3 + 2$ .

then the assertion of theorem 1 is satisfied.

**Remark 5.** *The computation of the depth was performed using CoCoA (see [2]) a computer algebra system entirely devoted to computing in polynomial rings. Other examples have been verified.*

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Giancarlo Rinaldo

e-mail: [rinaldo@dipmat.unime.it](mailto:rinaldo@dipmat.unime.it)

Università di Messina

Dipartimento di Matematica

Salita Sperone 31, 98166 Messina (Italy)